List of Validated PMEFs by Department/Agency

5-28-2009/5-26-2015

- **CFTC #1: Monitor commodity Futures Markets:** Monitor commodity futures and options markets; commodity futures and options intermediaries; and derivatives clearing organizations. Take regulatory actions, as appropriate, to ensure the integrity, efficiency, and stability of these markets and organizations. (NEF 7)
- **DHS #1:** Screen to Secure the Borders: Prevent terrorists, terrorists' weapons, illegal aliens, illicit drugs, and other contraband from entering or exiting at and between U.S. borders, ports of entry, and other transportation nodes to ensure border security while facilitating legitimate trade and travel. (NEFs 3 and 5)
- **DHS #2: Protect Critical Infrastructure:** Provide security, reduce vulnerability, and ensure resilience of the Nation's critical infrastructure and cyberspace from terrorism, criminal activity, and environmental hazards to ensure the delivery of essential services and functions. (NEFs 5, 6, and 8)
- **DHS #3: Protect National Leaders:** Protect national leaders, visiting heads of state and government, designated sites, and National Special Security Events. (NEF 1 and 2)
- **DHS #4:** Maintain Domestic Situational Awareness: Provide strategic-level situational awareness, information sharing, and decision support to leadership at all levels of government for incidents (all threats and all hazards) and events across the full homeland security mission spectrum and throughout the homeland security enterprise. (NEFs 5 and 6)
- **DHS #5: Enforce Homeland Security Laws:** Enforcing the laws, regulations, policies, and procedures within the scope of DHS authority to protect the Nation against crimes both foreign and domestic. (NEF 5)
- **DHS #6: Coordinate Continuity and Incident Response:** Coordinate continuity capabilities and manage implementation of incident response efforts. (NEF 1, 2, and 6)
- **DHS #7:** Manage Long Term Recovery: Lead and coordinate national emergency recovery efforts immediately following a disaster to ensure appropriate federal assistance is quickly delivered to the affected population and region to minimize suffering and disruption of services and facilitate rapid return to normalcy. (NEFs 2 and 6)
- **DOC #1: Prevent Export Control Violations:** Prevent national security export control violations. (NEF 5)
- **DOC #2: Provide Satellite Imagery:** Collect and provide the Nation with critical intelligence data, imagery, and other essential information for predictive environmental and atmospheric modeling systems and space-based distress alert systems by operating NOAA-controlled satellites, communications equipment, and associated systems. (NEFs 3, 5, and 6)

- **DOC #3: Provide Meteorological Forecasts:** Provide the Nation with environmental forecasts, warnings, data, and expertise critical to public safety, disaster preparedness, all-hazards response and recovery, the national transportation system, safe navigation, and the protection of the Nation's critical infrastructure and natural resources. (NEFs 6 and 8)
- **DOC #4:** Maintain Operational Federal Communications: Ensure the continuity of operations and reconstitution of critical Federal radio communications systems and services by managing Federal use of the radio frequency spectrum. Provide stewardship of the Internet domain name system through the authoritative root zone management process, as required. (NEFs 6 and 8)
- **DOD #1:** Formulate National Defense Policy: Advise the President, National Security Council, and Homeland Security Council on the formulation and implementation of national defense policy and strategy regarding the employment of U.S. Armed Forces and other DoD resources in furtherance of national security interests and objectives. (NEFs 3 and 5)
- **DOD #2: Protect and Defend the Country:** As directed by the commander-in-Chief, protect and defend the security of the United States, its possessions, and areas vital to its world-wide interests by the timely and effective employment of U.S. Armed Forces and other appropriate DoD resources and assets, and the Statutory exercising of Command Authority over those forces. (NEFs 3 and 5)
- **DOD #3:** Maintain World Wide Situational Awareness: Collect, analyze, and disseminate critical intelligence information to the National Leadership and international partners to support timely and accurate decision making regarding existing, emerging, or potential threats to the U.S., its allies, and its vital worldwide interests. (NEFs 3 and 5)
- **DOD #4: Promote National Security:** Promote U.S. National security interests and objectives worldwide. (NEFs 2, 3, and 4)
- **DOD #5: Conduct Domestic Emergency Response:** Conduct domestic emergency response efforts. (NEF 1 and 6)
- **DOE** #1: Assure Nuclear Materials Safety: Maintain the safety, security, and reliability of nuclear materials in the Department of Energy (DOE) Complex at fixed sites and in-transit. (NEFs 3 and 5)
- **DOE #2: Respond to Nuclear Incidents:** Execute responsibilities under presidential directive and the National Response Framework by providing operational support and decision-making in responding to a nuclear incident, both domestically and internationally. (NEF 6)
- **DOE** #3: Manage Energy Infrastructure: Continuously monitor and manage the National Energy Infrastructure, including the drawdown of the Strategic Petroleum Reserve and/or the Northeast Home Heating Oil Reserve. Respond to energy infrastructure disruptions to ensure rapid recovery of energy supplies. (NEFs 6 and 8)
- **DOI #1: Provide Emergency Response on Public Lands:** Provide emergency response capability, including fire, medical, and search and rescue services on Department of the Interior

- administered and tribal lands, and coordinate Federal emergency response with States, territories, local jurisdictions and private land owners as appropriate. (NEF 6)
- **DOI #2: Provide Law Enforcement on Public Lands:** Provide essential law enforcement and critical infrastructure protection services on Department of the Interior administered and tribal lands. (NEF 5)
- **DOI #3: Provide National Emergency Response:** Provide emergency response and recovery support to the Nation including: 1) firefighting, 2) search and rescue, 30 detection and analysis of zoonotic threats in wildlife, 4) detection of threats in water supplies, 5) essential geospatial data and analysis, and 6) geological hazard warnings. (NEFs 6 and 8)
- **DOI #4:** Maintain Relations with Insular Areas and Tribes: Ensure continued critical government to government communications and support to maintain and foster strong bilateral and multilateral relationships with Insular Areas, Freely Associated States, and Tribal Nations. (NEFs 2 and 4)
- **DOI #5: Provide Water and Electric Power:** Provide water and electrical power for critical use and expedite energy/mineral production. (NEF 8)
- **DOJ #1:** Advise and Represent the President: Advise the President on Constitutional matters and represent the President and the Executive Branch in the court system. (NEF 1)
- **DOJ #2: Protect Senior Officials and the Courts:** Protect and provide security for Federal Court personnel, and protect and provide security for designated Executive Branch officials. (NEF 1 and 5)
- **DOJ #3: Perform Critical Legal Reviews:** Perform legal reviews and provide legal advice on critical Government Operations. (NEF 1 and 2)
- **DOJ #4: Fight Terrorism and Espionage:** Protect the United States from terrorist attacks and from foreign intelligence operations and espionage; share law enforcement information related to these matters; investigate/prosecute terrorists, foreign agents, and organizations that threaten the United States. (NEFs 3 and 5)
- **DOJ #5:** Conduct Law Enforcement Activities: Conduct Federal law enforcement activities and coordinate Federal law enforcement response as needed in national and international emergencies. (NEFs 4, 5, and 6)
- **DOJ #6: Operate Federal Prisons:** Operate the Federal detention and prison systems to ensure the continued confinement of prisoners. (NEF 8)
- **DOT #1:** Assure Defense Transportation Infrastructure: Assure the operation, availability, and safety of critical transportation systems and infrastructure necessary for the National defense. (NEF 3)

- **DOT #2: Respond to Transportation Disruptions:** Lead and coordinate the National response to significant disruptions to the transportation sector. (NEF 6)
- **DOT #3: Operate the National Airspace system:** Ensure continuous National Airspace System (NAS) Operations and maintain critical air services and the safety thereof. (NEF 8)
- **EPA #1: Respond to Environmental and Health Threats:** Prevent, limit, mitigate or contain chemical, oil, radiological, biological, and/or natural or man-made disaster in designated zones of the United States, and provide environmental monitoring, assessment, and reporting in support of overall domestic incident management. (NEFs 6 and 8)
- FCC #1: Maintain Operational communications: Ensure continuous operations and reconstitution of critical communications systems and services. (NEFs 6 and 8)
- **FDIC #1:** Maintain confidence in Banks: Ensure public confidence in the Nation's banking system by maintaining continuous system-wide liquidity and preserving depositor's access to insured funds and services. (NEF 7)
- FRS #1: Conduct Monetary Policy: Conduct the Nation's monetary policy by influencing the monetary and credit conditions in the economy in pursuit of maximum employment, stable prices, and moderate long-term interest rates. (NEF 7)
- FRS #2: Maintain Financial System Stability: Maintain the stability of the financial system and contain systemic risk in financial markets. (NEF 7)
- FRS #3: Regulate Banking: Supervise and regulate banking institutions to ensure the safety and soundness of the nation' banking and financial system and to protect the credit rights of consumers. (NEF 7)
- FRS #4: Provide Financial Services: Provide financial services to depository institutions, the U.S. Government, and foreign official institutions, including playing a major role in operating the Nation's payment systems. (NEF 7)
- **GSA #1:** Lead Government Reconstitution: Lead and coordinate Federal government physical reconstitution efforts, including acquisition and provisioning of real property, commercial goods, and critical contract services. (NEF 1)
- HHS #1: Monitor and Respond to Health Challenges: Prepare for, mitigate, respond to, and recover from public health and medical emergencies. (NEFs 2, 6, and 8)
- HHS #2: Oversee Safety of Medical Products: Ensure the safety of procedures and products intended for human and animal use or consumption. (NEFS 2, 6, and 8)
- **HHS #3:** provide Medical Care and Services: Ensure continuous national leadership, resource support, access to, and provision of authorized medical care and public health services. (NEFs 2 and 8)

- **NARA #1: Publish the federal Register:** Publish Presidential and Federal Government documents in the daily <u>Federal Register</u> or, when necessary during National security emergencies, publish and broadly disseminate an <u>Emergency Federal Register</u>. (NEF 1)
- NASA #1: Operate Satellites: Operate NASA-controlled communications satellites, communications equipment, and associated systems to provide data imaging and other essential information to NASA and government partners. (NEFs 3 and 5)
- **NCUA #1: Maintain Solvent Credit Unions:** Ensure public confidence in the Nation's credit unions by maintaining continuous system-wide liquidity and preserving member access to funds and services. (NEF 7)
- NRC #1: Ensure Safe Nuclear Operations: Continuous safety review and situational awareness of accidents, threats, and other reportable incidents to determine the appropriate regulatory response for the functions pertaining to an emergency concerning a facility or material licensed or regulated by NRC (e.g., nuclear power reactors, research and test reactors, spent nuclear fuel facilities, nuclear fuel cycle facilities, irradiators, nuclear materials for medical and industrial use). (NEFs 5, 6, and 8)
- **ODNI #1:** Collect, Analyze, and Provide Intelligence: Ensure the continuous collection, analysis, production, and dissemination of timely and accurate national level intelligence, and the execution of related program activities for the President and other national leaders as required or directed. (NEFs 3 and 5)
- **OPM #1: Provide Human Capital Services:** Provide critical human capital services to ensure the Federal Government has the necessary civilian workforce. (NEF 8)
- **SSA #1:** Provide Social Security Services: Provide critical Social Security Administration services to the American people, including retirees, disabled persons, dependents, survivors, and aged individuals meeting needs based criteria. (NEF 8)
- **TREAS #1:** Address Risk to Financial Stability: Treasury monitors and advises the President on critical economic and financial system developments. Federal efforts to address risks to financial stability and, on behalf of the Federal Government, work with the financial services sector to share information and coordinate responses to threats or disruptions. (NEFs 2 and 7)
- **TREAS #2: Impose trade Sanctions:** Implement and administer economic and trade sanctions with respect to foreign countries, regimes, and targeted individuals or entities; investigate and designate individuals and entities pursuant to U.S. sanction regimes; administer Bank Secrecy Act data; and collaborate with local, state, Federal, and international law enforcement to safeguard the financial system from illicit financial transactions. (NEF 5)
- **TREAS #3:** Manage Finances: Manage the finances of the Federal Government, enabling continual operation of essential government services and sustaining public confidence in Treasury's ability to meet the Federal Government's financial obligations. Calculate the daily cash and debt position, estimate voluntary payments, and adjust receipts. Disburse payments and collect the government's receipts. Finance the government's obligations and activities through a

- borrowing strategy that meets the Federal Government's needs at the lowest cost over time. (NEFs 2 and 7)
- **TREAS #4:** Participate in International Markets: Formulate and execute economic measures and financial transactions with respect to international markets and institutions, conduct overseas operations and bilateral engagement with foreign finance ministries and central banks, and oversee critical financial market stability operations. (NEFs 4 and 7)
- **USDA #1: Ensure Food Safety:** Ensure the safety of designated segments of U.S. food supplies and protect U.S. agricultural health. (NEFs 6 and 8)
- **USDA #2: Provide Emergency Food Supplies:** Coordinate with State, local and voluntary organizations to provide food for shelters and other mass feeding sites and continue commodity support and nutrition assistance programs. (NEFs 6 and 8)
- **USDA #3:** Fight Forest Fires: Lead and coordinate allocation of fire and aviation assets in response to fires on Federal lands and in response to requests for Federal firefighting support under the Stafford Act or through mutual aid agreements. (NEF 6)
- **USDA #4: Provide Safety and Security on Public Lands:** Provide public safety and security support for the protection of critical Government resources, such as National Forests and Grasslands. (NEF 8)
- **USPS #1: Deliver Postal Services:** Deliver postal services to the American public. (NEF 8)
- **USPS #2: Deliver Emergency Medical Supplies:** Provide essential response capabilities—specifically the delivery of emergency countermeasures to residences when requested by the Department of Health and Human Services (HHS) under the Cities Readiness Initiative (CRI) in those cities where pre-event planning has already occurred; where USPS participants have been enlisted by USPS and outfitted by HHS with prerequisite safety measures; and where required security exists. (NEF 6)
- VA #1: Provide Medical Care: Provide medical and hospital services for veterans and, during a disaster or emergency, to civilian victims as appropriate. (NEF8)